



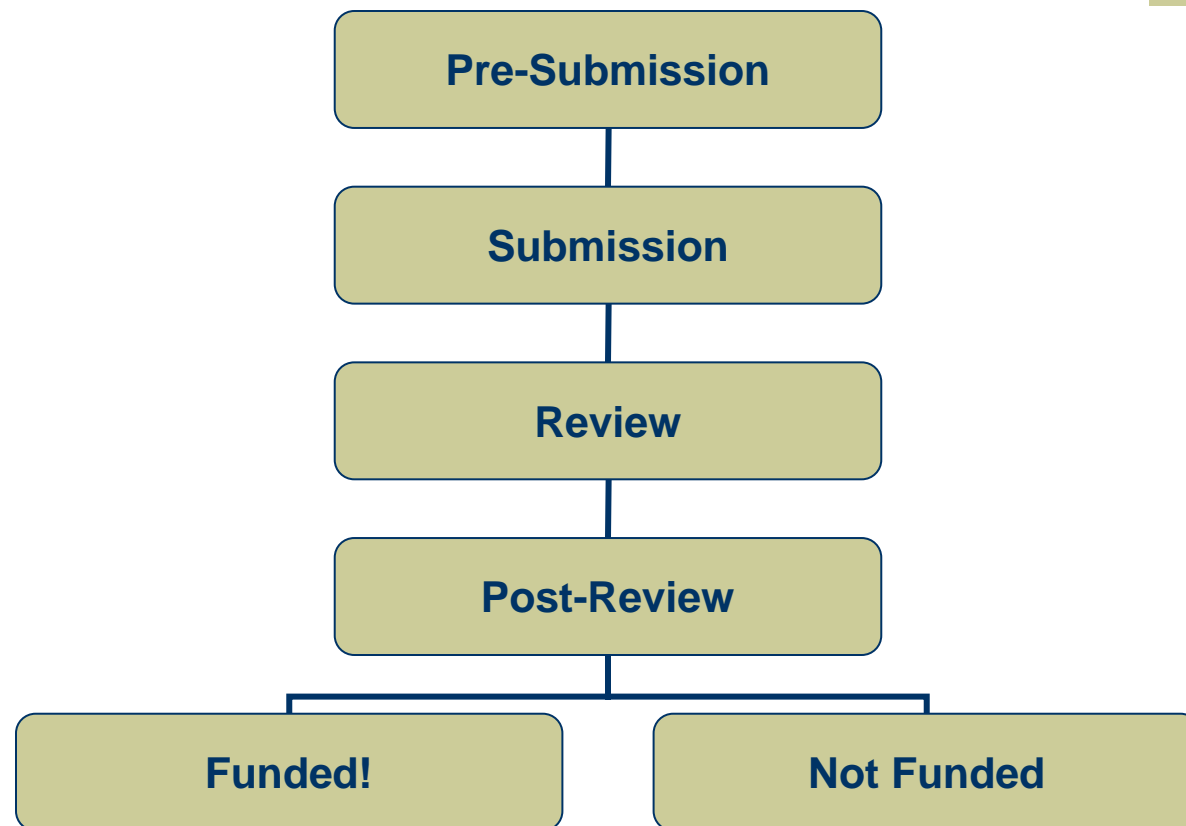
# The NIH Grants Process: An Overview

Sam Perdue, Ph.D.

*Program Officer*

NIH/NIAID

# Parts of the Grants Process



# Pre-Submission

- ◆ Select mechanism
  - Make sure the relevant NIH institution accepts that mechanism
  - Talk with department chair to determine what “counts”
- ◆ Look for initiatives/solicitations
  - Grants.gov, [www.niaid.nih.gov](http://www.niaid.nih.gov)
  - PA ≠ RFA
- ◆ **Don't force it!**
- ◆ Register for eRA Commons and Grants.gov
- ◆ Speak with program officer if needed



# Submission

- ◆ Institution submits the grant proposal
  - Institution receives the award
  - Institution must co-sign requests
- ◆ *May* make recommendations regarding review
- ◆ Different submission dates have different “issues”





# NIH Fiscal Year



October 1  
to  
September 30



# Submission date may affect timing of award

JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP
Submit				Review			Council	Funds Released												
				Submit				Review			Council	Funds Released								
								Submit				Review			Council	Funds Released				

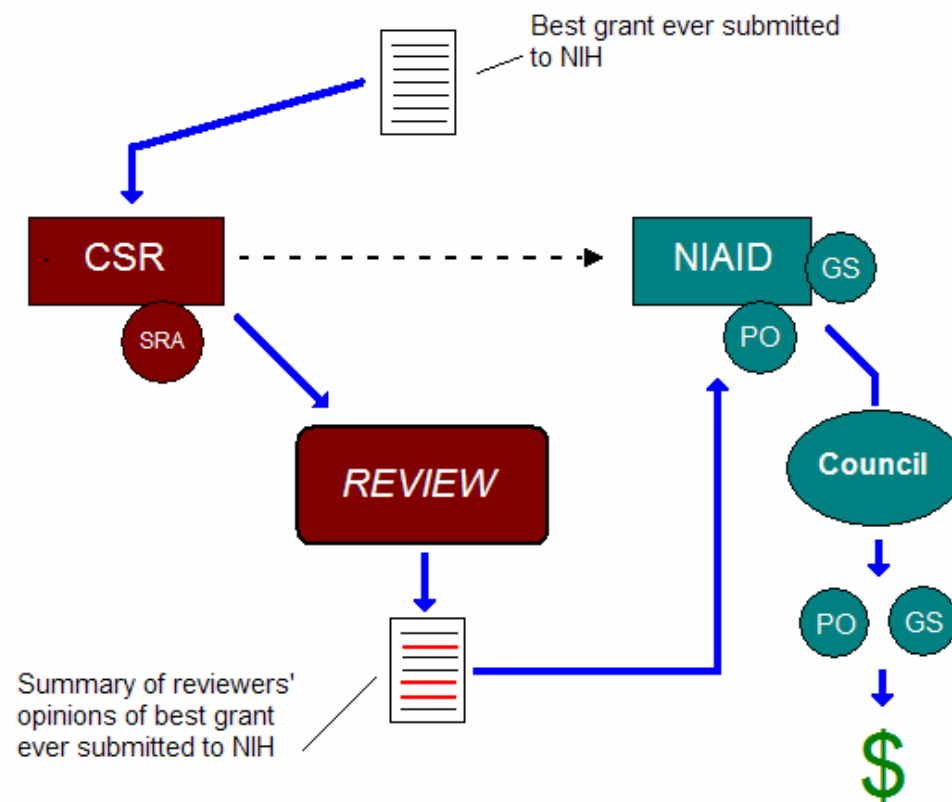


# Post-Submission: The Process

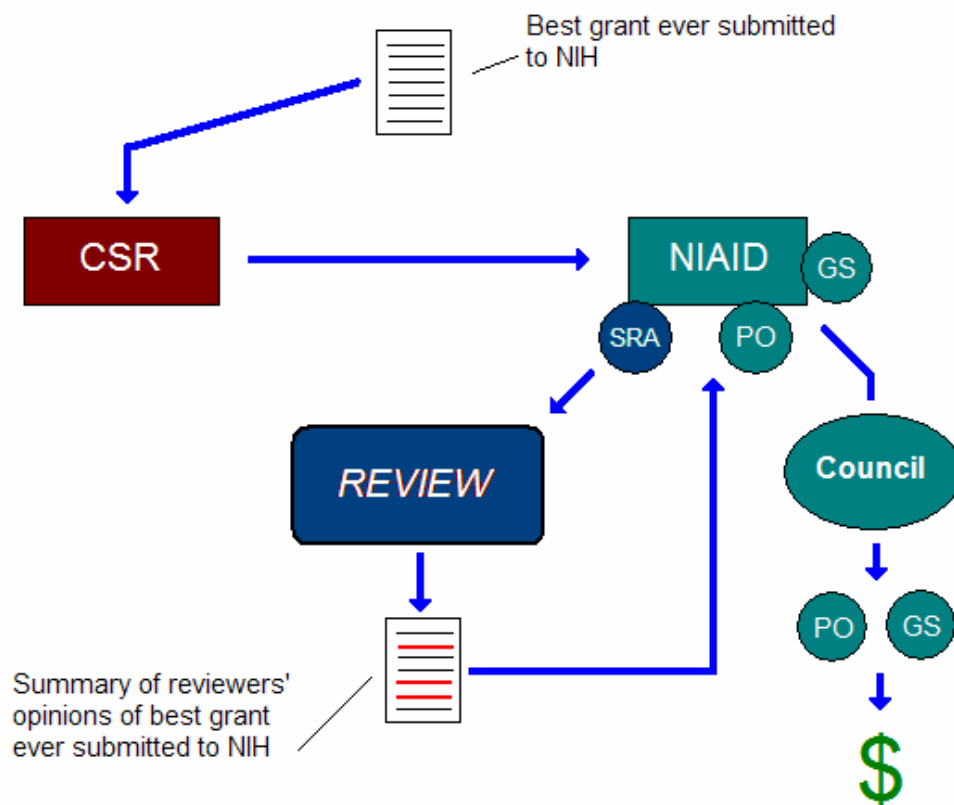
- ◆ NIH maintains a clear division between
  - Review (Scientific Review Administrator)
  - Program (Program Officer)
  - Grants management (Grants Specialist)
- ◆ Not all grants are handled the same way



# The Process: *Unsolicited Grants, PA*



# The Process: *RFA, Program Projects (P01)*



# The Process: Summary

- ◆ Unsolicited Grants, PA's
- ◆ Reviewed by CSR
- ◆ PO has no authority in review
- ◆ Review not targeted at PA
- ◆ R01's percentiled
- ◆ Payline
- ◆ RFA's & P01's
- ◆ Reviewed by NIAID
- ◆ PO has no authority in review
- ◆ RFA-dedicated study sections
- ◆ R01's not percentiled
- ◆ Funding Plan



# What to do if you don't receive a fundable score

- ◆ Read summary statement carefully
- ◆ Talk to your P.O.
- ◆ Revise, *politely* addressing *all* concerns
- ◆ Resubmit
  - Don't rush it
  - Watch the FY
- ◆ Don't assume you know who said what
- ◆ Appeals are only rarely recommended or upheld
- ◆ Don't give up



# From the FY07 NIAID “Paylines” Web site

- ◆ Selective pay -- NIAID is setting aside \$9 million (\$3 million for each extramural program division).

*Note: **Investigators cannot apply for selective pay funding.** NIAID's advisory council recommends and ranks the applications.*

- ◆ R56-Bridge awards -- NIAID is setting aside \$18 million (\$6 million for each extramural program division).

*Note: **Investigators cannot apply for R56-Bridge awards,** but must be nominated by NIAID program officers.*



# Congratulations!

- ◆ Notice of Grant Award (NGA)
  - Sent from NIH to your business official
- ◆ NIH Grants Policy Statement
  - [http://grants.nih.gov/grants/policy/nihgps\\_2003/](http://grants.nih.gov/grants/policy/nihgps_2003/)



# Continued funding: Responsibilities

- ◆ Annual progress report
  - Research update
  - Changes to key personnel
  - Expected carryover
  - Changes to human subjects or animal use
  - Human subjects tracking requirements
  - Invention reporting



# Supplementing your grants

- ◆ K/F awards
- ◆ Diversity supplements
- ◆ Administrative supplements
- ◆ R03
- ◆ R21



# New Investigators

[http://grants1.nih.gov/grants/new\\_investigators/resources.htm](http://grants1.nih.gov/grants/new_investigators/resources.htm)

